
Axiomatic set theory

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The shocking equation $\kappa \cdot \lambda = \kappa + \lambda$

- We prove $\kappa \cdot \lambda = \kappa + \lambda$ for infinite κ, λ
- **Claim:** $\kappa \cdot \kappa = \kappa$.
- Suppose not. Let κ be the smallest κ such that $\kappa \cdot \kappa > \kappa$. So $\lambda \cdot \lambda = \lambda$ for $\lambda < \kappa$.
- Let $P_\xi = \{(\alpha, \beta) : \alpha + \beta = \xi\}$.
- These sets are disjoint and cover $\kappa \times \kappa$.
- Define on P_ξ : $(\alpha, \beta) <_\xi (\alpha', \beta')$ if $\alpha < \alpha'$. Well-order!
- Define on $\kappa \times \kappa$: $(\alpha, \beta) < (\alpha', \beta')$ if $[(\alpha, \beta), (\alpha', \beta') \in P_\xi$ and $(\alpha, \beta) <_\xi (\alpha', \beta')$] or $[(\alpha, \beta) \in P_\xi$ and $(\alpha', \beta') \in P_{\xi'}$ and $\xi < \xi'$. Well-order!

- $\text{Ord}(\kappa \times \kappa, <)$ has cardinality $> \kappa$
- So $\text{Ord}(\kappa \times \kappa, <)$ is isomorphic to an ordinal $> \kappa$
- So $\kappa \times \kappa$ has an element (α, β) such that its predecessors in $<$ is a well-order isomorphic to κ .
- Suppose $(\alpha, \beta) \in P_\xi$.
- It is easy to see that the cardinality of the set of predecessors of (α, β) in $<$ is at most $|\xi+1| \cdot |\xi+1|$.
- As $\lambda \cdot \lambda = \lambda$ for $\lambda < \kappa$, a contradiction!

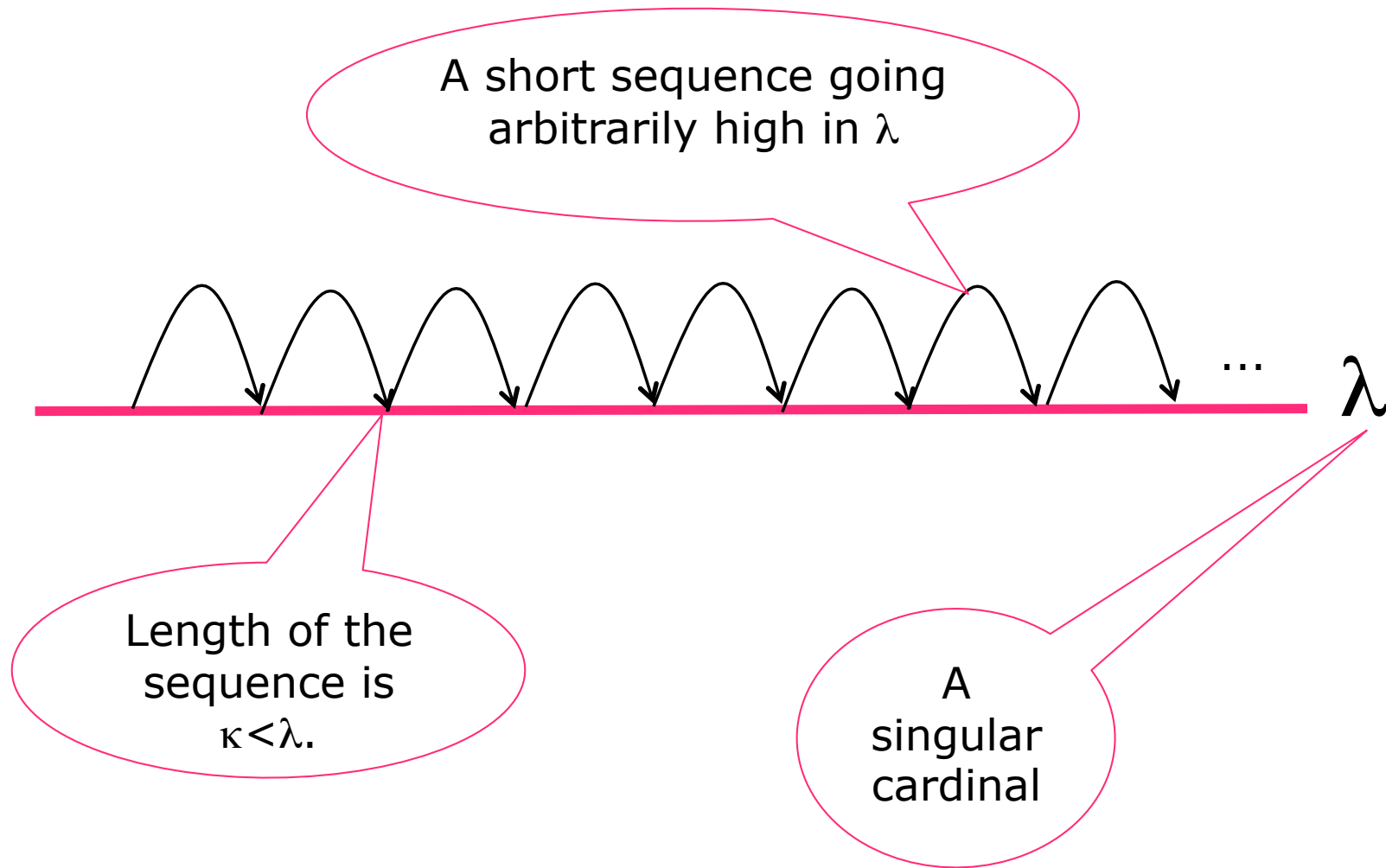
A proof of $\kappa \cdot \lambda = \kappa + \lambda$, for infinite κ, λ

- Claim: $\kappa \cdot \lambda = \kappa + \lambda = \max(\kappa, \lambda)$, for infinite κ, λ .
- Let $\mu = \max(\kappa, \lambda) \geq \omega$.
- $\kappa + \lambda \leq \kappa \cdot \lambda \leq \mu \cdot \mu = \mu \leq \kappa + \lambda$
- So the claim follows from the Schröder-Bernstein Theorem.
- The equation makes cardinal arithmetic easy. But exponentiation (later) is hard.

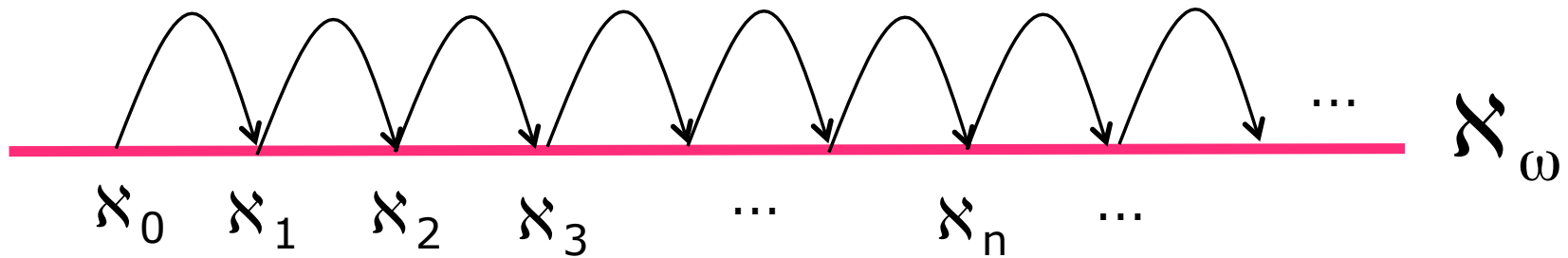
An important application

- Suppose κ is an infinite cardinal.
- The union of at most κ sets each of which has cardinality at most κ has itself cardinality at most κ .
- Proof: The union has clearly cardinality at most $\kappa \cdot \kappa$, i.e. κ .
- Corollary: The union of countably many countable sets is countable.

Regular and singular cardinals



An example: \aleph_ω



Cofinality

- The **cofinality** $\text{cf}(\delta)$ of a limit ordinal δ is the smallest ordinal α for which there is an increasing sequence $(\gamma_\beta)_{\beta < \alpha}$ of ordinals below δ such that the sequence is unbounded in δ .
- A cardinal κ is **singular** if $\text{cf}(\kappa) < \kappa$, otherwise **regular**.

Examples

α	$\text{cf}(\alpha)$
ω	ω
$\omega + \omega$	
ω^2	
ω^ω	
ω_1	
$\omega_1 + \omega_1$	
$\omega_1^2 + \omega$	

Successor cardinals are regular!

- **Claim:** κ^+ is regular.
- **Proof:** Suppose the increasing sequence $(\gamma_\beta)_{\beta < \alpha}$ is unbounded in κ^+ . We show that α cannot be $< \kappa^+$. Suppose it is. Then $|\kappa^+| = |\bigcup_{\beta < \alpha} \gamma_\beta| \leq |\alpha| \cdot \sup_{\beta < \alpha} |\gamma_\beta| \leq \kappa \cdot \kappa = \kappa$, a contradiction!

Examples

regular	singular
\aleph_0	\aleph_ω
\aleph_1	$\aleph_{\omega+\omega}$
\aleph_5	\aleph_{ω_1}
$\aleph_{\omega+1}$	$\aleph_{\omega_1+\omega}$
$\aleph_{\omega_1+\omega+3}$	
\aleph^+	$\aleph^+ + \aleph^{++} + \aleph^{+++} + \dots$
\aleph^{++}	

Cardinal exponentiation

- Recall: $\lambda^\kappa = |\{f : f:\kappa \rightarrow \lambda\}|$
- Claim: $2^\kappa = |P(\kappa)|$
- Proof: We define a bijection $g:\{f : f:\kappa \rightarrow 2\} \rightarrow P(\kappa)$.
- Let $g(f) = \{\alpha \in \kappa : f(\alpha) = 0\}$
- Clearly, g is a bijection
 $\{f : f:\kappa \rightarrow 2\} \rightarrow P(\kappa)$.
- Corollary: $2^\kappa > \kappa$ (by Cantor's Theorem)
- Corollary: $\lambda^\kappa > \kappa$, if $\lambda > 1$.

König's Theorem

- Suppose $\kappa_i < \lambda_i$ for all $i < \mu$.
- Then $\sum_{i < \mu} \kappa_i < \prod_{i < \mu} \lambda_i$.
- Proof: Suppose $F: \bigcup_{i < \mu} A_i \rightarrow \prod_{i < \mu} \lambda_i$, where the A_i are disjoint and $|A_i| = \kappa_i$. **It suffices to show that F is **not** onto.**
- Let $F_i(a) = F(a)(i)$. $F_i: A_i \rightarrow \lambda_i$ so F_i is not onto, as $\kappa_i < \lambda_i$, say it misses α_i .
- Let $h(i) = \alpha_i$. So h is in $\prod_{i < \mu} \lambda_i$.

König's Theorem (Contd.)

- **Claim: h is not in the range of F .**
- Suppose $h = F(a)$, say a is in A_i .
- Now $\alpha_i = h(i) = F(a)(i) = F_i(a)$, contrary to the choice of α_i .
- QED

Corollary to König's Theorem

- $\kappa^{\text{cf}(\kappa)} > \kappa$ (Note that we know already $\kappa^\kappa > \kappa$, as $2^\kappa > \kappa$, so now we improve this)
- Proof: Let $\alpha = \text{cf}(\kappa)$. So $\kappa = \bigcup_{\beta < \alpha} \gamma_\beta$, where $\gamma_\beta < \kappa$. Let $\gamma'_\beta = \gamma_\beta \setminus \bigcup_{\eta < \beta} \gamma'_\eta$, and $\kappa_\beta = |\gamma'_\beta| < \kappa$. So $\kappa = \sum_{i < \alpha} \kappa_i < \prod_{i < \alpha} \kappa = \kappa^{\text{cf}(\kappa)}$.
- QED

How many real numbers?

- 2^{\aleph_0}
- By Cantor's Theorem, at least \aleph_1
- Exactly \aleph_1 ? Continuum Hypothesis!
- By König's Theorem, 2^{\aleph_0} is not a countable sum of smaller cardinals.
- This is all that can be said on the basis of ZFC.

The picture

$$\kappa = \kappa^2 = \kappa^3 = \dots$$

$\kappa^\omega > \kappa$, if $\text{cf}(\kappa) = \omega$, otherwise
 $\kappa^\omega = \kappa$ is possible

$\kappa^{\omega_1} > \kappa$, if $\text{cf}(\kappa) \leq \omega_1$, otherwise $\kappa^{\omega_1} = \kappa$
is possible

Etc, etc